NOTES ON THE POLYNOMIAL FREIMAN-RUZSA CONJECTURE

BEN GREEN

ABSTRACT. Let G be an abelian group. The Polynomial Freiman-Ruzsa conjecture (PFR) concerns the structure of sets $A \subseteq G$ for which $|A + A| \leq K|A|$. These notes provide proofs for the statements made in §10 of [8], and as such constitute a reasonably detailed discussion of the PFR in the case $G = \mathbb{F}_2^n$.

Although the purpose of these notes is to furnish proofs for the statements in §10 of [8], they are reasonably self-contained. For further context see the article [8] itself. A great deal of the material in this section was communicated to me in person by Imre Ruzsa, and is reproduced here with his kind permission.

1. TOOLS

In this section we assemble a number of tools which are nowadays regarded as part of the standard armoury of an additive combinatorialist. The forthcoming book [18] will serve as a compendium for these and much more besides.

Let us briefly recall some notation concerning sumsets. Suppose that G is an abelian group and that $A, B \subseteq G$. Then we write

$$A + B := \{ a + b \mid a \in A, b \in B \}.$$

More generally if k, l are any two non-negative integers then we set

$$kA - lB := \{a_1 + \dots + a_k - b_1 - \dots - b_l \mid a_i \in A, b_j \in B\}.$$

If |A| = n and if $|A + A| \leq K|A|$, where K is "small" relative to n, then we say that A has small doubling. We call the ratio |A + A|/|A| the *doubling constant* of A.

The first tool is an inequality of Plünnecke [13], a new proof of which was found by Ruzsa [16]. Expositions of the proof may also be found in [12] or [9].

Proposition 1.1 (Plünnecke's inequalities). Suppose that A and B are subsets of some abelian group G, and that $|A + B| \leq K|A|$. Then for any non-negative integers k, l we have

$$|kB - lB| \leqslant K^{k+l}|A|.$$

The second tool is a simple but surprisingly powerful covering lemma of Ruzsa.

Lemma 1.2 (Ruzsa). Let S, T be subsets of an abelian group such that $|S+T| \leq K'|S|$. Then there is a set $X \subseteq T$, $|X| \leq K'$, such that $T \subseteq S - S + X$.

Proof. Pick a maximal set $X \subseteq T$ such that the sets S + x, $x \in X$, are pairwise disjoint. Since $\bigcup_{x \in X} (S + x) \subseteq S + T$, we have $|S||X| \leq K'|S|$, which implies that $|X| \leq K'$. Now suppose that $t \in T$. By maximality we there must be some $x \in X$ such that $(S + t) \cap (S + x) \neq \emptyset$, which means that $t \in S - S + x$.

The author is a Fellow of Trinity College, Cambridge.

The next proposition is due, in qualitative form, to Balog and Szemerédi [1]. The version below is due to Gowers [6, Proposition 12]. Somewhat better dependencies between the constants are now known (see for example [4]).

Proposition 1.3 (Balog–Gowers–Szemerédi). Let A be a subset of an abelian group. Suppose that |A| = n, and that there are at least cn^3 quadruples $(a_1, a_2, a_3, a_4) \in A^4$ such that $a_1 + a_2 = a_3 + a_4$. Then there is a set $A' \subseteq A$ with $|A'| \ge 2^{-19}c^{12}n$ and $|A' - A'| \le 2^{57}c^{-36}|A'|$.

2. THE POLYNOMIAL FREIMAN-RUZSA CONJECTURE

Write \mathbb{F}_2^{∞} for the vector space of countable dimension over the finite field \mathbb{F}_2 . Let $A \subseteq \mathbb{F}_2^{\infty}$ have doubling at most K, meaning that we have the inequality $|A + A| \leq K|A|$. What can be said about the structure of A?

It is hard to think of any examples of sets A with this property other than cosets of subspaces, and large subsets of them. In fact, these are the only such examples as was shown by Imre Ruzsa [14]. This is the finite field analogue of a celebrated theorem of Freiman [5]. The best known bounds for a result of this type are due to Ruzsa and the author [11]:

Theorem 2.1 (Freiman's theorem in \mathbb{F}_2^{∞}). Let $A \subseteq \mathbb{F}_2^{\infty}$ be a finite set with $|A + A| \leq K|A|$. Then A is contained within a coset of some subgroup $H \leq \mathbb{F}_2^{\infty}$ with $|H| \leq K^2 2^{2K^2-2}|A|$.

A version of this result, with somewhat weaker bounds, will be a consequence of Proposition 2.2 below (which is also due to Imre Ruzsa).

Theorem 2.1 gives, in a weak sense, a complete description of sets with small doubling. We showed that if $|A + A| \leq K|A|$ then A is contained in a coset of a subspace of size at most $K^2 2^{2K^2-2}|A|$; conversely, if A has this property then it is clear that $|A + A| \leq K^2 2^{2K^2-2}|A|$. It would be of great interest to have a structure theorem which does not result in exponential losses in K of this sort. Perhaps one can even arrange things so that one has a result of the form

doubling constant $K \Longrightarrow$ structure \Longrightarrow doubling constant K',

where K' is polynomial in K.

It is easy to see that such a structure theorem would have to take a form somewhat different from Theorem 2.1. Indeed if one takes $A \subseteq \mathbb{F}_2^{\infty}$ to be a subspace H together with K points x_1, \ldots, x_K such that $\text{Span}(x_1, \ldots, x_K) \cap H = \{0\}$ then it is clear that $|A+A| \leq K|A|$, but that the smallest coset-of-a-subspace containing A has size roughly $2^K|A|$.

Ruzsa [14] reports that Katalin Marton has suggested that one should be looking for a covering of A by a small number $C_1(K)$ of cosets of some rather smaller subspace of size $C_2(K)|A|$. I agree with this, and it is to some extent believeable that $C_1(K)$ and $C_2(K)$ can be polynomial in K. This is what I shall call the Polynomial Freiman-Ruzsa conjecture (PFR) – it will be introduced in more detail later. Imre Ruzsa indicated to me a large part of the following proposition giving a number of statements equivalent to such a structure theorem.

Proposition 2.2 (Ruzsa). The following five statements are equivalent.

- (1) If $A \subseteq \mathbb{F}_2^{\infty}$ has $|A + A| \leq K|A|$, then there is $A' \subseteq A$, $|A'| \geq |A|/C_1(K)$, which is contained in a coset of some subspace of size at most $C_2(K)|A|$.
- (2) If $A \subseteq \mathbb{F}_2^{\infty}$ has $|A + A| \leq K|A|$, then A may be covered by at most $C_3(K)$ cosets of some subspace of size at most $C_4(K)|A|$.
- (3) If $A \subseteq \mathbb{F}_2^{\infty}$ has $|A + A| \leq K|A|$, and if additionally there is a set B, $|B| \leq K$, such that A + B = A + A, then A may be covered by at most $C_5(K)$ cosets of some subspace of size at most $C_6(K)|A|$.
- (4) Suppose that $f : \mathbb{F}_2^m \to \mathbb{F}_2^\infty$ is a function with the property that

$$|\{f(x) + f(y) - f(x+y) : x, y \in \mathbb{F}_2^m\}| \leqslant K.$$

Then f may be written as g + h, where g is linear and $|\text{Im}(h)| \leq C_7(K)$.

(5) Suppose that $f : \mathbb{F}_2^m \to \mathbb{F}_2^\infty$ is a function with the property that for at least $2^{3m}/K$ of the quadruples $(x_1, x_2, x_3, x_4) \in \mathbb{F}_2^m$ with $x_1 + x_2 = x_3 + x_4$ we have $f(x_1) + f(x_2) = f(x_3) + f(x_4)$. Then there is an affine linear function $g : \mathbb{F}_2^m \to \mathbb{F}_2^\infty$ such that f(x) = g(x) for at least $2^m/C_8(K)$ values of x.

Furthermore if $C_i(K)$ is bounded by a polynomial in K for all $i \in I$, where I is any of the sets $\{1,2\}, \{3,4\}, \{5,6\}, \{7\}, \{8\}$ then in fact $C_i(K)$ is bounded by a polynomial in K for all i.

Remarks. Statement (4) is perhaps the most elegant and natural one here. Observe also that (4) is rather easy with the bound $C_7(K) = 2^K$. Thus Proposition 2.2 implies a weak version of Theorem 2.1. It is the possibility of polynomial bounds for $C_i(K)$ that is the most interesting feature of this proposition. Let us call this the PFR conjecture:

Conjecture 2.3 (Polynomial Freiman-Ruzsa conjecture for \mathbb{F}_2^n). The function $C_7(K)$ (and hence all of the other functions $C_i(K)$, i = 1, ..., 8), can be taken to be polynomial in K.

Ruzsa was probably the first to actually dare to conjecture this, and he certainly states such a conjecture explicitly in [17]. Such matters are also touched upon (in the \mathbb{Z} -setting) in [2, 7].

The next section is devoted to the proof of Proposition 2.2. We do not purport to have done this in the most efficient manner.

3. Proof of Proposition 2.2

 $(1) \Leftrightarrow (2)$. It is easy to see that $(2) \Rightarrow (1)$. To go in the opposite direction, suppose that $A \subseteq \mathbb{F}_2^{\infty}$ has $|A + A| \leq K|A|$. Using (1), we may pass to a subset $A' \subseteq A$ with $|A'| \geq |A|/C_1(K)$ and such that A' is contained in a coset of a hyperplane of size at most $C_2(K)|A|$. Apply Lemma 1.2 with S = A', T = A and $K' = KC_1(K)$. We get a set X, $|X| \leq KC_1(K)$, such that $A \subseteq A' - A' + X$. This immediately implies (2) with $C_3(K) \leq KC_1(K)$ and $C_4(K) = C_2(K)$.

(2) \Leftrightarrow (3). It is trivial that (2) \Rightarrow (3). To proceed in the opposite direction, we apply (3) to the set D = A - A. By Proposition 1.1, we have $|D + D| = |2A - 2A| \leq K^4 |A| \leq$

 $K^4|D|$. We claim that there is a set B, $|B| \leq K^8$, such that D + B = D + D. To see this, apply Lemma 1.2 with S = A, T = 2A - A and $K' = K^4$ (this is a permissible choice by another application of Proposition 1.1). We get a set X, $|X| \leq K^4$, such that $2A - A \subseteq X + (A - A)$, which implies that $2A - 2A \subseteq X + (A - 2A) \subseteq X - X + (A - A)$. This proves the claim, with B = X - X. Now apply (3) with to get that D, and hence A, may be covered by at most $C_5(K^8)$ cosets of some subspace of size at most $C_6(K^8)|D| \leq K^2C_6(K^8)|A|$.

 $(4) \Rightarrow (3)$. Suppose that we have a set $A \subseteq \mathbb{F}_2^{\infty}$ with $|A + A| \leq K|A|$, together with a set $B, |B| \leq K$, such that $A + A \subseteq A + B$. Let H_0 be a minimal subspace such that the projection $\pi : A \to H_0$ is one-to-one. Then $\pi(A + A) = \pi(A - A) = H_0$ (or else we could find a smaller subspace). We define a map $f : H_0 \to \mathbb{F}_2^{\infty}$ as follows. Put some fixed ordering on b, and for each $x \in H_0$ pick the minimal $b \in B$ such that $x = \pi(a + b)$ for some $a \in A$, and set f(x) = a.

We claim that $|\{f(x) + f(y) - f(x + y) : x, y \in H_0\}| \leq K^7$. To see this, write $x = \pi(a_1 + b_1), y = \pi(a_2 + b_2)$ and $x + y = \pi(a_3 + b_3)$. Then

$$f(x) + f(y) - f(x+y) = a_1 + a_2 - a_3.$$
(3.1)

Now we may pick $a_4 \in A$, $b_4 \in B$ such that $a_1 + a_2 = a_4 + b_4$ and then $a_5 \in A$, $b_5 \in B$ such that $a_3 + a_4 = a_5 + b_5$. Summing gives

$$a_1 + a_2 - a_3 = a_5 + b_4 + b_5, \tag{3.2}$$

whence (since π is linear and we are in characteristic two)

$$\pi(a_5) = \pi(b_1 + b_2 + b_3 + b_4 + b_5).$$

Since π is one-to-one on A, the number of possible values of a_5 is thus at most K^5 . From (3.2), we see that there are at most K^7 possible values of $a_1 + a_2 - a_3$ which, in view of (3.1), implies our claim.

Now (4) implies that f = g + h, where $g : H_0 \to \mathbb{F}_2^{\infty}$ is linear and $|\mathrm{Im}(h)| \leq C_7(K^7)$. Statement (3) follows immediately with $H = g(H_0)$, and with $C_5(K) \leq C_7(K^7)$, $C_6(K) \leq K$.

(1) \Rightarrow (5). Suppose that $f : \mathbb{F}_2^m \to \mathbb{F}_2^\infty$ is a function with the property we are interested in, viz. that for at least $2^{3m}/K$ of the quadruples $(x_1, x_2, x_3, x_4) \in \mathbb{F}_2^m$ with $x_1 + x_2 = x_3 + x_4$ we have $f(x_1) + f(x_2) = f(x_3) + f(x_4)$. Consider the graph $\Gamma = \{(x, f(x)) : x \in \mathbb{F}_2^m\}$ of f. The set $\Gamma \subseteq \mathbb{F}_2^m \times \mathbb{F}_2^\infty$ has cardinality $N = 2^m$, and the number of solutions to the equation $t_1 + t_2 = t_3 + t_4$ with $t_i \in \Gamma$ is at least N^3/K .

It follows from Proposition 1.3 that there is $\Gamma' \subseteq \Gamma$, $|\Gamma'| \ge 2^{-19}K^{-12}N$, such that $|\Gamma' - \Gamma'| \le 2^{57}K^{36}|\Gamma'|$. Applying (2), we see that Γ' may be covered by $l = C_3(2^{57}K^{36})$ cosets $H + x_1, \ldots, H + x_l$ of some subspace $H \subseteq \mathbb{F}_2^m \times \mathbb{F}_2^\infty$, $|H| \le C_4(2^{57}K^{36})N$. By increasing l to $C_9(K) := C_3(2^{57}K^{36})C_4(2^{57}K^{36})$ if necessary, we may assume that the projection π of H onto the first factor \mathbb{F}_2^m is an isomorphism. By the pigeonhole principle, there is some i such that $|\Gamma' \cap (H + x_i)| \ge |\Gamma'|/C_9(K) \ge 2^{-19}K^{-12}N/C_9(K)$. Write $\Gamma'' = \Gamma' \cap (H + x_i)$, and set $E = \pi(\Gamma'')$. It is clear that $f|_E$ is affine linear. This confirms (5), with $C_8(K) = 2^{19}K^{12}C_9(K)$.

 $(5) \Rightarrow (4)$. Set $N = 2^n$. Suppose that $f : \mathbb{F}_2^n \to \mathbb{F}_2^\infty$ is a map such that $|B| \leq K$, where $B := \{f(x) + f(y) - f(x+y) : x, y \in \mathbb{F}_2^n\}$. A simple application of the Cauchy-Schwarz inequality confirms that there are at least N^3/K quadruples (x_1, x_2, x_3, x_4) with $x_1 + x_2 = x_3 + x_4$ and $f(x_1) + f(x_2) = f(x_3) + f(x_4)$. Thus there is a set $E \subseteq \mathbb{F}_2^n$, $|E| \ge N/C_8(K)$, such that $f|_E$ is affine linear. Write $g : \mathbb{F}_2^n \to \mathbb{F}_2^\infty$ for the extension of this affine linear function to all of \mathbb{F}_2^n .

Now Lemma 1.2 applies to show that there is a set T, $|T| \leq C_8(K)$, such that $T + E - E = \mathbb{F}_2^n$. However it is easy to confirm that

$$f(t+e_1-e_2) = f(e_1) - f(e_2) + f(t) + b_1 - b_2 = g(t+e_1-e_2) + f(t) - g(t) + g(0) + b_1 - b_2$$

for some $b_1, b_2 \in B$. Thus $|\text{Im}(f-g)| \leq |T|^2 |B|^2 \leq C_8(K)^2 K^2$. This concludes the proof.

Remark. The equivalence of (1) - (4) could be shown without recourse to Proposition 1.3.

4. SUBPLÜNNECKARITY

Recall, from §1, the statement of Plünnecke's inequality. The reader may observe that (1) of Proposition 2.2 implies a much stronger bound for some large subset $A' \subseteq A$, for large s, t, at least if there is a good bound on $C_2(K)$. We may call such an A' subplünnecke. Nets Katz asked me to formulate a converse, that is to say a principle to the effect that A being subplünnecke implies that A is very economically contained in some coset of a subspace. The following result is my best effort so far in this direction:

Proposition 4.1. Let $A \subseteq \mathbb{F}_2^{\infty}$, and suppose that there is a constant B such that $|tA| \leq t^B |A|$ for all $t \geq B \log B$. Then A is contained in a union of $2^{CB \log B}$ cosets of some subspace having size at most |A|.

The proof of this proposition is a variant of Chang's proof of Freiman's theorem [3], which is itself based on Ruzsa's argument [15]. We will make use of the Fourier transform. Recall that by fixing a basis (e_1, \ldots, e_n) for \mathbb{F}_2^n one may identify the characters on \mathbb{F}_2^n with the group itself. Indeed if $\xi \in \mathbb{F}_2^n$ then the map $x \mapsto (-1)^{\xi^T x}$ is a character, and we may define the Fourier transform

$$\widehat{f}(\xi) := \sum_{x \in \mathbb{F}_2^n} f(x)(-1)^{\xi^T x}.$$

If $A \subseteq \mathbb{F}_2^n$ is a set then we write \widehat{A} for the Fourier transform of the characteristic function of A. See [8, §2] for more details.

The following very useful lemma of Chang says that if $A \subseteq \mathbb{F}_2^n$ then the set of points ξ at which $\widehat{A}(\xi)$ is large has considerable structure.

Lemma 4.2 (Chang). Let $A \subseteq \mathbb{F}_2^n$ have cardinality αN , let $\rho \in (0, 1)$ be a real number and let Λ be the set of all ξ for which $|\hat{A}(\xi)| \ge \rho |A|$. Then Λ is contained in a subspace of dimension at most $8\rho^{-2}\log(1/\alpha)$.

Remark. Chang [3] derived this result using an inequality of Rudin. See also [9]. In the finite field case an alternative (though morally very similar) proof may be given using an inequality of Beckner (see [10]).

In order to prove Proposition 4.1 we also need the notion of a *Freiman isomorphism*. Suppose that A and B are subsets of abelian groups and that $\phi : A \to B$ is a map. Let

k be a positive integer. We say that ϕ is a Freiman k-homomorphism if whenever

$$a_1 + \dots + a_k = a'_1 + \dots + a'_k$$

we have

$$\phi(a_1) + \dots + \phi(a_k) = \phi(a'_1) + \dots + \phi(a'_k)$$

If ϕ has an inverse which is also a Freiman k-homomorphism then we say that ϕ is a Freiman k-isomorphism. In this case we write $A \cong_k B$.

Lemma 4.3. Let $A \subseteq \mathbb{F}_2^{\infty}$, and suppose that $|kA| \leq k^B |A|$. Then A is Freiman kisomorphic to a subset of \mathbb{F}_2^n , where $2^n \leq k^{4B} |A|$.

Proof. Take a minimal n such that there is a set $S \subseteq \mathbb{F}_2^n$ with $S \cong_k A$. For any $x \in \mathbb{F}_2^n$ there is a linear projection $\pi : \mathbb{F}_2^n \to \mathbb{F}_2^{n-1}$ with $\ker(\pi) = \langle x \rangle$. Any such projection induces a Freiman homomorphism (of any order) on S. Thus, by minimality, $\pi|_S$ does not have an inverse which is also a Freiman k-homomorphism. This means that there are $s_1, \ldots, s_k, s'_1, \ldots, s'_k \in S$ with

$$s_1 + \dots + s_k \neq s'_1 + \dots + s'_k$$

but

$$\phi(s_1) + \dots + \phi(s_k) = \phi(s'_1) + \dots + \phi(s'_k).$$

By our choice of π , this implies that

$$s_1 + \dots + s_k - s'_1 - \dots - s'_k = x.$$

Since x was arbitrary we have $kS - kS = \mathbb{F}_2^n$. Since $A \cong_k S$, we have $|kS| = |kA| \leq k^B |A| = k^B |S|$. Applying Proposition 1.1 with sets S and (k-1)S gives

$$|kS - kS| \leq |2(k-1)S - 2(k-1)S| \leq k^{4B}|S|$$

Hence we have the inequality $2^n \leq k^{4B} |A|$, which is what we wanted to prove.

We call a Freiman isomorph of A which sits densely inside some subspace a *model* for A. It is useful to have a good model for a set A, since the tools of Fourier analysis are then available. The next lemma is an example of this. For more on models, see [11].

Lemma 4.4 (Chang-Bogolyubov). Suppose that $A \subseteq \mathbb{F}_2^n$ has density α , and let k be a positive integer. Then kA - kA contains a subspace $H \leq \mathbb{F}_2^n$ with

$$\operatorname{codim}(H) \leq 32\alpha^{-1/(k-1)}\log(1/\alpha).$$

Proof. Set $N = 2^n$, and let $\rho = \frac{1}{2}\alpha^{1/(2k-2)}$. Let $r_{2k}(x)$ be the number of representations of x as $a_1 + \cdots + a_k - a'_1 - \cdots - a'_k$. This being the convolution of k copies of A and k copies of -A, we may write it using the Fourier inversion formula as

$$r_{2k}(x) = N^{-1} \sum_{\xi} |\widehat{A}(\xi)|^{2k} (-1)^{\xi^T x}.$$
(4.1)

Observe that $r_{2k}(x) > 0$ if and only if $x \in kA - kA$. Now split the sum (4.1) as $\Sigma_1 + \Sigma_2$, where

$$\Sigma_1 := \sum_{\xi:|\widehat{A}(\xi)| \ge \rho|A|} |\widehat{A}(\xi)|^{2k} (-1)^{\xi^T x}$$

and

$$\Sigma_2 := \sum_{\xi:|\widehat{A}(\xi)| < \rho|A|} |\widehat{A}(\xi)|^{2k} (-1)^{\xi^T x}.$$

By Lemma 4.2 there is a subspace $H \leq \mathbb{F}_2^n$, $\operatorname{codim}(H) \leq 8\rho^{-2}\log(1/\alpha)$, such that $\xi^T x = 0$ whenever $|\widehat{A}(\xi)| \geq \rho |A|$ and $x \in H$. For $x \in H$, then, we have

$$\Sigma_1 \ge |\widehat{A}(0)|^{2k} = \alpha^{2k} N^{2k}$$

We also have the estimate

$$|\Sigma_2| \leqslant \rho^{2k-2} \alpha^{2k-2} N^{2k-2} \sum_{\xi} |\widehat{A}(\xi)|^2 = \rho^{2k-2} \alpha^{2k-1} N^{2k} < \Sigma_1.$$

Thus $r_{2k}(x) > 0$ whenever $x \in H$, which proves the lemma.

Proof of Proposition 4.1. Let $k = \lceil B \log B \rceil$. Since $|4kA| \leq (4k)^B |A|$, we may apply Lemma 4.3 to assert that $A \cong_{4k} S$, where S is a subset of \mathbb{F}_2^n and $2^n \leq (4k)^{4B} |A|$. Since |S| = |A|, the density of S in \mathbb{F}_2^n is at least $\sigma := (4k)^{-4B}$. Now Lemma 4.4 guarantees that kS - kS contains a subspace of size at least

$$2^{-32\sigma^{-1/(k-1)}\log(1/\sigma)}|A| = 2^{-128B(4k)^{4B/(k-1)}\log(4k)}|A| \ge 2^{-CB\log B}|A|,$$

for some absolute constant C. Since $kS - kS \cong_2 kA - kA$, this means that kA - kA also contains a subspace of this size, which we shall call H.

Now by assumption we have

$$|A + H| \le |(k+1)A - kA| \le (2k+1)^B |A| \le 2^{C'B \log B} |H|,$$

and so by Lemma 1.2 we may find X, $|X| \leq 2^{C'B \log B}$, such that $A \subseteq X + H - H$. Thus A is indeed contained in the union of $2^{C'B \log B}$ cosets of some subspace of size at most |A|.

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TRINITY COLLEGE, CAMBRIDGE, CB2 1TQ *E-mail address*: bjg23@hermes.cam.ac.uk

8